

Audit and Governance Committee

Dorset County Council



Date of Meeting	25 October 2018
Officers	<u>Lead Cabinet Member</u> Rebecca Knox – Leader <u>Local Members</u> All Members <u>Lead Director</u> Debbie Ward, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	This report records:- (a) Cabinet and County Council decisions arising from recommendations from Audit and Governance Committee meetings; and (b) Outstanding actions identified at the meeting held on 12 March and 29 June 2018.
Impact Assessment:	Equalities Impact Assessment: N/A Use of Evidence: Information used to compile this report is drawn together from the Committee's recommendations made to the Cabinet, and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.
	Budget: No VAT or other cost implications have been identified arising directly from this programme.

Progress on Matters Raised at Previous Meetings

	<p>Risk Assessment: Having considered the risks associated with this decision using the County Council's approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW</p>
	<p>Outcomes:</p>
	<p>Other Implications: None</p>
Recommendation	<p>That Members consider the matters set out in this report.</p>
Reason for Recommendation	<p>To support the Council's corporate aim to provide innovative and value for money services.</p>
Appendices	<p>Appendix 1 – Outstanding Actions</p>
Background Papers	<p>None</p>
Officer Contact	<p>Name: Denise Hunt, Senior Democratic Services Officer Tel: (01305) 224878 Email: d.hunt@dorsetcc.gov.uk</p>

Progress on Matters Raised at Previous Meetings

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
12 March 2018	29 - Draft Annual Governance Statement 2017-18	That the Committee is not reassured concerning the performance indicators in relation to Superfast Broadband and that the Economic Growth Overview and Scrutiny Committee gives consideration to further investigation of broadband and mobile phone provision.	Chairman EGOSC	The Chairman will be asking for a report to come to the Economic Growth Overview and Scrutiny Committee on Broadband and Mobile phone reception.
29 June 2018	39 - Progress on Matters Raised at Previous Meetings	<p>That the Shadow Executive is informed that, further to disbanding of the Economic Growth Overview and Scrutiny Committee's Brexit Advisory Group, that consideration be given for Brexit to be included as part of a portfolio responsibility and included in a relevant workstream.</p> <p>That an audit of Equality Impact Assessments is undertaken as part of the internal audit programme in 2018-19.</p>	<p>Democratic Services</p> <p>Rupert Bamberger, SWAP</p>	<p>The Chairman of the Shadow Executive who has responsibility for the allocation of portfolios and lead member was informed following the meeting.</p> <p>An audit of Equality Impact Assessments has been formally added to the 2018-19 internal audit programme of work.</p>

Progress on Matters Raised at Previous Meetings

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
	40 - Internal Audit Annual Opinion 2017-18	<p>That the Chairman, Vice-Chairman and Cllr Bryan consider the outcomes in the Children's Services Budget Management report when available.</p> <p>That the Chairman, Vice-Chairman and Cllr Ray Bryan of the Audit and Governance Committee and the Chairman of the Safeguarding Overview and Scrutiny Committee meet with the Interim Director of Children's Services to discuss the position and undertake a scoping exercise (to include the scoping document for the Scrutiny Review of Looked after Children in foster or residential placements).</p> <p>That the Chairman liaises with the Interim Director of Children's Services in relation to the risks accepted as part of the Section 17 payments review.</p> <p>That, following discussion with the Interim Director of Children's Services, a joint activity (informal or formal) of the Audit and Governance and Safeguarding Overview and Scrutiny Committees is arranged in early September 2018.</p>	Rupert Bamberger to forward the report when available	<p>The Children's Services Budget Management Final Follow-Up Report was circulated via e-mail on 10 August 2018.</p> <p>An initial scoping meeting for a scrutiny review of the Children's Services Budget with officers took place on 13 September 2018 and further planning meetings have been arranged in October and December 2018.</p> <p>An Inquiry Day for the Children's Services Budget has been arranged on Tuesday 15 January at the Dorset History Centre.</p>

Progress on Matters Raised at Previous Meetings

	<p>41 - Report of Internal Audit Activity Plan Progress 2018/19 - June 2018</p>	<p>The Chairman would pursue the concerns expressed by the Committee and look at whether the Audit and Governance Committee was looking at Tricuro effectively and receiving the necessary assurances.</p>	<p>Committee Chairman</p>	
	<p>46 - Work Programme</p>	<p>That, further to the cancellation of the meeting on 25 July 2018, that the two reports due to be considered at this meeting are circulated to the Committee by e-mail.</p>	<p>Democratic Services</p>	<p>The annual complaints report 2017-18 was circulated to the Committee by e-mail on 30 July 2018.</p> <p>The External Funding Monitoring Report has been included as an item on the agenda for the October committee meeting.</p>